

## Account Opening Application Form (INDIVIDUAL)

### Instructions to complete the Application Form

- Before completing this Application Form, please make sure that you have read and understood all information regarding your Client Account, including the applicable Terms, Agreements and Policies (available at [www.kentinvest.io](http://www.kentinvest.io)).
- Please submit this Application form together with all required documentation enlisted in **Appendix A** by emailing them at [support@kentinvest.io](mailto:support@kentinvest.io).
- Please note that we will not be able to accept you as a client unless all the information and documents required have been received by us and are in a satisfactory format and all internal checks are duly satisfied.
- Applicants under 18 years of age will not be accepted.
- For any questions regarding this Application Form or the Agreement you may contact us at [support@kentinvest.io](mailto:support@kentinvest.io).

### A. General information

1. Applicant's Information			
a.	Forename		
b.	Surname		
c.	Alias(es)		
d.	Previous Names (if any)		
e.	Passport Number		
f.	E-mail		
g.	Telephone		
h.	Preferred Language		
i.	Date of Birth		
j.	Nationality		
k.	Profession:		
2. Address			
l.	Jurisdiction of Residence		
m.	City / Town		
n.	Street Number		
o.	Residential Address		
p.	Postal/Zip code (Same as the one displayed on your supporting documents)		
q.	Correspondence address (if different from the above):		
3. FATCA/CRS Details			
r.	Country of Tax Residence		
s.	Please indicate if you are a Tax Resident in multiple jurisdictions?		
t.	Tax Identification Number	Are you a US citizen for tax purposes?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4. PEP status			
u.	Do you currently hold or have you been entrusted in the past with a prominent public <sup>1</sup> function?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Refer to Appendix B annexed hereto. If yes, please specify the functions held, period (including dates) and other relevant details.
v.	Are you an immediate family member of a PEP?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
w.	Are you a close associate of a PEP?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
x.	If yes, please provide details:		

<sup>1</sup> Example: includes the Head of State and of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials and such other person or category of persons as may be specified

**B. Investor information and objectives**

a.	Are you acting as a nominee or on behalf of a third-party?	<input type="checkbox"/> Yes (If yes, please provide the relevant forms (Appendix D)) <input type="checkbox"/> No
b.	Please indicate the commercial rationale for business relationship.	<input type="checkbox"/> Speculative <input type="checkbox"/> Hedging <input type="checkbox"/> Investment <input type="checkbox"/> Risk Management <input type="checkbox"/> Diversification
c.	How much of your money to be used for trading in Contracts for Differences (CFDs) can you accept to risk losing?	<input type="checkbox"/> All of it. I am a risk taker. <input type="checkbox"/> Most of it. I am willing to assume substantial risk. (Up to 70%) <input type="checkbox"/> Some of it. I am willing to assume moderate risk. (Up to 25%)

**C. Financial Situation of Investor**

a.	Please indicate your source of income	<input type="checkbox"/> Dividends/Profits/Return on investments <input type="checkbox"/> Salary <input type="checkbox"/> Savings <input type="checkbox"/> Inheritance <input type="checkbox"/> Borrowings <input type="checkbox"/> Others: .....
b.	Please indicate your total Annual Estimated Income? (USD)	<input type="checkbox"/> <10,000 <input type="checkbox"/> 10,001 - 100,000 <input type="checkbox"/> 100,001 - 500,000 <input type="checkbox"/> > 500,001
c.	Please indicate your total Annual Estimated Net Worth? (USD)	<input type="checkbox"/> <10,000 <input type="checkbox"/> 10,001 - 100,000 <input type="checkbox"/> 100,001 - 500,000 <input type="checkbox"/> > 500,001

**D. Account Details**

a.	Trading Platform Type	<input type="checkbox"/> MT5 (Forex, CFDs on Equity Indices, Commodities)
b.	Account Type	<input type="checkbox"/> Fixed Margin <input type="checkbox"/> Standard Account <input type="checkbox"/> Pro Account
c.	Please indicate the amount of your initial intended contribution? USD	<input type="checkbox"/> 0 – 500 <input type="checkbox"/> 501– 2,500 <input type="checkbox"/> 2,501 – 5,000 <input type="checkbox"/> 5,001 – 20,000 <input type="checkbox"/> 20,001 – 500,000 <input type="checkbox"/> More than 500,000

**E. Trading Knowledge & Experience**

a.	Please indicate your level of academic qualifications.	<input type="checkbox"/> Doctorate <input type="checkbox"/> Master's Degree or equivalent <input type="checkbox"/> Bachelor's Degree or equivalent
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		<input type="checkbox"/> Secondary (High School) <input type="checkbox"/> None of the above
b.	Employment Status	<input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Unemployed
c.	Please indicate the nature of your profession/business or relevant former profession/business.	<input type="checkbox"/> Financial Services <input type="checkbox"/> Legal Services <input type="checkbox"/> Accounting Services <input type="checkbox"/> IT <input type="checkbox"/> Computers and/or Mathematics <input type="checkbox"/> Government Activities <input type="checkbox"/> Education <input type="checkbox"/> Engineering and/or Construction <input type="checkbox"/> Other: _____
d.	Please indicate if you have any prior education, professional qualifications or knowledge relevant to the products offered by us?	<input type="checkbox"/> Yes, I have at least one year of relevant trading experience and hold relevant a relevant degree <input type="checkbox"/> I have at least one year trading experience <input type="checkbox"/> I hold relevant degree <input type="checkbox"/> I have no relevant trading experience and no relevant degree
e.	Please indicate the number of times you have personally traded in over-the-counter (OTC) derivatives (e.g. CFDs, Warrants, Futures, Options) over the past three years?	<input type="checkbox"/> More than 25 times <input type="checkbox"/> 10 to 25 times <input type="checkbox"/> Less than 10 times <input type="checkbox"/> Never
f.	Please confirm that you understand that trading on CFDs carries significant risk of loss?	<input type="checkbox"/> Yes <input type="checkbox"/> No

### F. Signature and Consents

- I declare that I have carefully read, accepted and fully understood the entire content of the Terms and Conditions, Order Execution Policy, Risk Disclosure, Conflicts of Interest Policy, Privacy Policy and any other legal documentation.
- I have approached the Company on my own exclusive initiative and I authorize the Company and/or any of the Company's representatives to contact me by phone or email.
- I agree to allow the Company to process my personal data for optimization and execution of its marketing campaigns and also agree to receive Company's newsletters, news and product updates. I declare that I carefully read and understood the entire content of the Privacy Policy of the Company.
- I confirm that I am aged 18 years or over and that the information provided by me in this account opening process is true and correct. I will notify the Company in writing of any material changes in the information submitted.
- I further confirm that I do not breach any regulations of my country of residence in trading with the Company.
- The Company reserves the right to request additional information and documentation, including source of wealth/funds, as part of its onboarding process and prior to accepting the Client.

FULL NAME INDIVIDUAL: .....

SIGNATURE: .....

DATE: .....

## APPENDIX A

### Initial List of Documents that would be required

1. Signed Application Form
2. Certified true copy of current valid passport (including signature, clear photo, MRZ)
3. Certified true copy of your proof of address (less than 3 months old)  
Example:
  - Utility bill (gas, water, electricity or landline telephone); or
  - bank or credit card statement; or
  - reference or letter of introduction from
    - (i) a financial institution that is regulated in Mauritius;
    - (ii) a regulated financial services business which is operating in an equivalent jurisdiction or a jurisdiction that complies with the FATF standards; or
    - (iii) a branch or subsidiary of a group headquartered in a well-regulated overseas country or territory which applies group standards to subsidiaries and branches worldwide, and tests the application of, and compliance with, such standards.
4. Proof of Employment  
A letter or other written confirmation of the individual's status from the public body, or in case of private sector, from his employer.
5. Evidence of source of funds

We realize that some of the above documents may not exist in some jurisdictions. In those cases, please send the best local equivalent.

*The Company reserves the right to request additional information and documentation, including source of wealth, as part of its onboarding process and prior to accepting the Client.*

## APPENDIX B

### POLITICALLY EXPOSED PERSON

As per Section 2 of Financia Intelligence and Anti Money Laundering Regulations 2018,

➤ “politically exposed person” or “PEP” –

(a) means a foreign PEP, a domestic PEP and an international organisation PEP; and

(b) for the purposes of this definition –

“domestic PEP” means a natural person who is or has been entrusted domestically with prominent public functions in Mauritius and includes the Head of State and of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials and such other person or category of persons as may be specified by a supervisory authority or regulatory body after consultation with the National Committee;

“foreign PEPs” means a natural person who is or has been entrusted with prominent public functions by a foreign country, including Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials and such other person or category of persons as may be specified by a supervisory authority or regulatory body after consultation with the National Committee;

“international organisation PEP” means a person who is or has been entrusted with a prominent function by an international organisation and includes members of senior management or individuals who have been entrusted with equivalent functions, including directors, deputy directors and members of the board or equivalent functions and such other person or category of persons as may be specified by a supervisory authority or regulatory body after consultation with the National Committee”.

A PEP is an individual who is or has been entrusted with a prominent public function such as:

- heads of state;
- heads of government;
- ministers and deputy or assistance ministers;
- members of parliament;
- influential functionaries in nationalised industries and government administration;
- judges and senior magistrates;
- senior political party functionaries;
- senior and/or influential officials, functionaries and military leaders and people with similar functions in international or super national organisations;
- members of ruling royal families;

The definition of PEP also includes:

- ❖ 'Close associates', i.e.:
  - (a) individuals who are closely connected to a PEP, either socially or professionally; and
  - (b) includes any other person as may be specified by a supervisory authority or regulatory body after consultation with the National Committee.
- ❖ 'Family members'; i.e.:
  - (a) individuals who are related to a PEP either directly through consanguinity, or through marriage or similar civil forms of partnership; and
  - (b) includes any other person as may be specified by a supervisory authority or regulatory body after consultation with the National Committee.

#### **APPENDIX D**

If the applicant is acting for a third party, then he/she must disclose –

- (a) the identity of the third party (and any beneficial owners or associated persons as required);
- (b) the proofs of identity required under Regulation 3 of the FIAML Regulations 2018; and
- (c) the relationship between the third party and the applicant for business.

#### ***Important Disclosures by the Company:***

##### **FATCA / CRS**

The Company is bound by international tax rules to collect certain information from all of our clients, including their tax information. The purpose FATCA/CRS related information sought in this form is for the Company to comply with its obligations under the Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS). In certain circumstances, the Company will be obliged to share your tax information with the tax authorities.

Tax residency is determined by a number of factors, the amount of time you are physically present in a jurisdiction is often the most important factor. The definition of tax residency varies from jurisdiction to jurisdiction. It is possible to be tax resident in more than one jurisdiction at the same time. If you have any questions regarding your tax residency or you are not certain in which jurisdiction you are tax resident, we recommend you speak to your tax or legal advisor. Please indicate all countries in which you are tax resident and your corresponding tax reference numbers.

##### **Data Protection**

The Company is bound by the prevailing Data Protection Laws in Mauritius and has the legal duty to protect any information we collect from you. Information contained in this documents and annexed forms/evidences are deemed to be privileged information and hence are subject to data security policies that we have put in place. Data will not be shared with any unauthorised third party without the written consent of the Investor, except for Regulators or upon the receipt of a notice/legal instruction from a court of law.

Pursuant to the provisions of the Data Protection Act, you have the right, in relation to the company's personal data which is in the Company's custody and/or control of, to:

- access and/or, request rectification and erasure;
- object to the processing;
- withdraw consent at any time, without affecting the lawfulness of processing based on the consent which had been provided prior to withdrawal.

The Company is bound by the Data Protection Act prevalent in Mauritius and by its internal Data Protection Policies including the obligation for record keeping.

**Anti-Money Laundering Legislation**

As per Section 17C (6) of the Financial Intelligence and Anti- Money Laundering Act (Mauritius) which reads as follows:

*“Any person who knowingly provides any false or misleading information to a reporting person in connection with CDD requirements under this Act or any guidelines issued under this Act shall commit an offence and shall, on conviction, be liable to a fine not exceeding 500,000 rupees and to imprisonment for a term not exceeding 5 years.”*